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Manly NSW 2099

Traders4Traders Pty Ltd FINANCIAL SERVICES GUIDE

Traders4Traders Pty Ltd

ACN: 139 140 373

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FINANCIAL SERVICES GUIDE

A GUIDE TO OUR RELATIONSHIP WITH YOU AND OTHERS

This guide contains important information about:

- who we are;
- how we can be contacted;

- the services and products we offer;
- how we are paid;

- any associations or relationships we may have with financial product issuers and details of any potential conflicts of interests; and
- Our internal and external dispute resolution procedures and how you can access them.

WHAT IS THE PURPOSE OF THIS FINANCIAL SERVICES GUIDE

This brochure is our Financial Services Guide (“**FSG**”). Under the Corporations Act we are obliged to provide you with an FSG. The purpose of this FSG is to provide our clients with key information about the type of services that we can offer and to ensure that you receive the information required to make an informed decision about whether to use the financial services that are offered by us.

Our FSG informs you of any charges that you are liable for and how we and any other relevant person will be remunerated for the services that we offer. It also sets out your rights as a client and how we will deal with any complaints you may have against us.

ADDITIONAL DOCUMENTS

Traders4Traders Pty Ltd (Traders4Traders) provides training in the use of the spot forex market and specifically the use of the electronic trading platforms promoted by Forex Capital Management Pty Ltd (FCM). Any decisions to open an account with FCM or purchase products from similar service providers are entirely at your discretion. Before you open an account with FCM and/or similar service providers you will be required and Traders4Traders strongly advises that you familiarise yourself with the FSG, PDS and Terms of business of FCM and similar service providers in order to make an informed decision about the products you are purchasing, including the risks and benefits, costs, fees and charges and how to trade FCM products and those of similar service providers.



Traders4Traders

CONTENTS

SECTION 1: About Traders4Traders

- Traders4Traders
- Which licensed entities does this FSG refer to and what services are provided?
- The nature of advice given by Traders4Traders

SECTION 2: Our Products and Trading Foreign exchange

- Traders4Traders Products
- Identify the risks

SECTION 3: Remuneration

- How we are remunerated for the services we provide
- Commissions paid to staff and consultants
- Rebates paid by FCM

SECTION 4: Contact Details and Your Privacy

- How do you contact us?
- Your privacy

SECTION 5: Any Problems

- Dispute resolution procedure



SECTION 1

Traders4Traders

Traders4Traders Pty Ltd is an Australian owned Financial Services company, which specialises in the training of retail and wholesale clients in the spot forex market and the use of an electronic trading platform owned and promoted by FCM. This trading platform provides access to the global foreign exchange markets enabling clients to buy and sell foreign currencies.

Our goal is to assist clients in building wealth through our training, which involves education and responsible trading skills and strategies. To achieve this goal we offer comprehensive regular training exercises, forums and individual coaching under the general advice section of our AFSL.

Clients of Traders4Traders range from active market traders to passive investors with an interest in the spot forex market and contemporary trading strategies.

Our clients judge us on the quality of our training materials and attention to personal tuition.

WHICH LICENSED ENTITIES DOES THIS FSG REFER TO AND WHAT SERVICES ARE PROVIDED?

Traders4Traders is a corporate authorised representative of Forex Capital Management Pty Ltd, who is licensed as an Australian Financial Services Licensee (No. 409617) under the Corporations Act.

This enables Traders4Traders to provide training services that only constitute general advice under the laws governing financial services in Australia. This can include information about FCM products and the underlying markets. Any information you receive from Traders4Traders in the form of market and research reports or web site content should only be regarded as general advice.

THE NATURE OF ADVICE GIVEN BY TRADERS4TRADERS

We will not provide you with personal advice. Personal advice is advice that takes into consideration one or more of your objectives, financial situation or need or advice which could reasonably be regarded as having done so.

You will therefore not receive a statement of advice from us. We will only provide you with general advice; general advice is advice that is not personal advice.



This means that when we provide general advice to you, the advice will not take into account your objectives, financial situation or needs. It is important for you to consider these matters. Accordingly we make no warranties or guarantees that the content of the advice given during our training is suitable for your individual situation. You should consider seeking independent advice before implementing the skills practiced in training or making any decisions about FCM products and/or using the services offered by FCM.

Under the law governing financial services, general advice has a defined meaning which may be different to what you understand and expect general advice to mean. In the context of the provision of financial services, general advice can include information about financial products and markets and FCM products specifically.

Any information Traders4Traders may provide you about FCM products and the markets or you have discussed with a Traders4Traders employee should only be regarded as general advice. Market updates, research reports, historical performance charts, web site content are examples of the general advice we may provide.

You should obtain professional financial advice based on your own particular circumstances before making any investment decision on the basis of the general advice provided by Traders4Traders in the course of its training and your relationship with Traders4Traders staff.

Traders4Traders may collect personal or investment information from you to identify you and to administer their relationship with you. Collection of personal information does not imply that personal advice was given.



SECTION 2

Traders4Traders PRODUCTS

Traders4Traders focuses solely on the following products

- Training in the use of the spot forex market
- Training in the use of the FCM electronic trading platform to enable clients to buy and sell foreign currencies

IDENTIFY THE RISKS

Clients of Traders4Traders will be told that trading foreign exchange on margin carries a high level of risk and may not be suitable for all investors. The high degree of leverage can work against you as well as for you. Before deciding to trade foreign exchange during or after you have purchased services or received advice from Traders4Traders, you should carefully consider your investment objectives, level of experience and risk appetite. The possibility exists that you could sustain a loss of some or all of your initial investment and therefore you should not invest money that you cannot afford to lose.

When deciding to purchase products from Traders4Traders you are advised to consider the limitations of the advice given.

SECTION 3

HOW ARE WE REMUNERATED FOR THE SERVICES WE PROVIDE?

You will be charged fees in relation to the training services and products which we provide. These fees are subject to change and clients will be notified of changes at the time of purchase.

COMMISSIONS PAID TO STAFF AND CONSULTANTS

Compensation is paid to staff and consultants out of the price paid by you for training services. The amount of commission paid to staff and consultants is negotiated on an individual basis depending upon their employment relationship or contract with Traders4Traders, the volume of sales, seniority and individual performance targets. These commissions can range from 10% of the price paid by you to 50% of the price paid by you.



REBATES PAID BY FCM

Traders4Traders has a referring broker agreement with Axitrader, IBFX, City Index, FxPro, BFMN and Gain Capital.

A referral agreement outlines the arrangement between the platform and the introducing broker and the compensation the introducing broker receives for this introduction.

SECTION 4

WHAT INFORMATION DO WE NEED TO OBTAIN AND HOW WILL IT BE DEALT WITH?

We value the privacy of your personal information. In general your basic personal information will be used for establishing and maintaining you as a client and for the purpose of providing our various services to you. Your personal information may be disclosed internally for reasons of administration and operations

We may be required to disclose your information to any court or tribunal, authority or regulatory body such as ASIC and operators of disputes or complaints resolution schemes to enable compliance with relevant legislation and regulations. We may be required to disclose your information to debt collectors, credit reporting agencies, our auditors, contractors or service providers and to other parties authorised and/or required by law to collect your information.

Unless required by law or regulation, your personal information will not be passed to any third-party without your consent. Your personal information is stored securely and you have the right to review it and request its correction if in error.



SECTION 5

DISPUTE RESOLUTION PROCEDURE

If you have a complaint about our products, services, or conduct of one of our representatives you should contact us immediately. We will seek to resolve your complaint quickly and fairly. If your complaint is not resolved within a reasonable time by one of our staff, please contact the Compliance Officer at the address below;

Forex Capital Management Pty Ltd
Attention: The Compliance Officer
Suite 6, 15 The Corso
Manly NSW 2095

If your complaint cannot be resolved to your satisfaction you have the right to have your complaint heard by the Financial Ombudsman Service (FOS). FOS is the external dispute resolution scheme authorised to deal with complaints in relation to investment advice. They can be contacted on 1300 780 808 or on the web at www.fos.org.au

Traders4Traders Contact Information

You can contact us by:

- Telephoning us on +61 2 9976 6161 between 9.00am and 5.00pm Monday to Friday.
- Emailing us at info@traders4traders.com.au
- Writing to us at Suite 6, 15 The Corso Manly NSW 2095